

**SECTION L: MOTORCARRIER PART 382
ALCOHOL AND CONTROLLED SUBSTANCES TESTING POLICY**

I. INTRODUCTION - PURPOSE

Truckee-Carson Irrigation District maintains a strong commitment to provide a safe, efficient, and productive work environment. Driver involvement with alcohol and/or controlled substances can be extremely disruptive and harmful to the workplace. It can adversely affect the quality of work and the performance of drivers, pose serious safety and health risks to the user, co-workers and the public, and have a negative impact on work efficiency and productivity.

Truckee-Carson Irrigation District is concerned that drivers are in a condition to perform their duties safely and efficiently, in the interests of our fellow workers, the public, as well as themselves. It is the purpose of this policy to eliminate substance abuse and its effects in the workplace.

Truckee-Carson Irrigation District is also required to comply with Federal regulations pertaining to the attainment and maintenance of an Alcohol and Controlled Substances-Free Workplace. These requirements are outlined by the U.S. Department of Transportation (DOT), Federal Motor Carrier Safety Administration (FMCSA), under Title 49 CFR Part 382 and Part 40. The purpose of this program is to reduce accidents that result from the use of alcohol and/or controlled substances, thereby reducing fatalities, injuries and property damage.

II. DRIVERS SUBJECT TO ALCOHOL AND CONTROLLED SUBSTANCE TESTING

The alcohol and controlled substances testing program applies to all Truckee-Carson Irrigation District drivers who are licensed to operate a commercial vehicle that:

- Has a gross combination weight rating of 26,001 or more pounds inclusive of a towed unit with a gross vehicle weight of more than 10,000 pounds;
- Has a gross vehicle weight rating of 26,001 or more pounds;
- Is designed to transport 16 or more passengers; or
- Is of any size that is used to transport hazardous material, which require the vehicle to be placarded under the hazardous materials regulations.

This includes, but is not limited to: full-time drivers; casual, intermittent or occasional drivers; leased drivers and independent owner-operator contractors who are either directly employed by or under lease to Truckee-Carson Irrigation District or who operate a commercial motor vehicle at the direction of or with the consent of Truckee-Carson Irrigation District. This program shall not apply to drivers who are granted a Federal or State waiver (i.e. emergency response vehicles) as outlined in Part 382.1033.

III. SAFETY-SENSITIVE FUNCTIONS

A driver shall not use alcohol when performing safety-sensitive functions or perform safety-sensitive functions within four hours of using alcohol. A driver shall not report for duty or remain on-duty when his or her job requires performing safety-sensitive functions if he or she has been using controlled substances or has tested positive for controlled substances use.

- A driver is performing a safety-sensitive function when:

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- All times at an employer terminal, facility, or other property, or on any public property, waiting to be dispatched, unless the driver has been relieved from duty by the employer;
- All time performing pre-trip inspections, general inspections, servicing, or conditioning any commercial motor vehicle at any time;
- All time spent at the driving controls of a commercial motor vehicle in operation;
- All time, other than driving time, in or upon any commercial motor vehicle, except time spent resting in a qualified sleeper berth;
- All time loading or unloading the vehicle, supervising, or assisting the loading, attending a vehicle being loaded or unloaded, remaining in readiness to operate the motor vehicle, or in giving or receiving receipts for shipments loaded and unloaded;
- All time repairing, obtaining assistance, or remaining in attendance upon a disabled vehicle.

IV. **PROHIBITED CONDUCT**

The following shall be considered "prohibited conduct" for purposes of this program:

- No driver shall report for duty or remain on duty requiring the performance of safety-sensitive functions while having an alcohol concentration of .04 or greater. No employer having actual knowledge that a driver has an alcohol concentration of .04 or greater shall permit the driver to perform or continue to perform safety-sensitive functions.
- No driver shall use alcohol while performing safety-sensitive functions. No employer having actual knowledge that a driver is using alcohol while performing safety-sensitive functions shall permit the driver to perform or continue to perform safety-sensitive functions.
- No driver shall perform safety-sensitive functions within four hours after using alcohol. No employer having actual knowledge that a driver has used alcohol within four hours shall permit a driver to perform or continue to perform safety-sensitive functions.
- No driver required to take a post-accident alcohol test shall use alcohol for eight hours following the accident, or until he/she undergoes a post-accident alcohol test, whichever occurs first.
- No driver shall refuse to submit to a post-accident alcohol or controlled substances test, a random alcohol or controlled substances test, a reasonable suspicion alcohol or controlled substances test, or a follow-up alcohol or controlled substances test. No employer shall permit a driver who refuses to submit to such tests to perform or to continue to perform safety-sensitive functions.
- No driver shall report for duty or remain on duty requiring the performance of safety-sensitive functions when the driver uses any controlled substance, except when use is pursuant to the instructions of a licensed medical practitioner (382.107) who has advised the driver that the substance will not adversely affect the driver's ability to safely operate a commercial motor vehicle. No employer having actual knowledge that a driver has used a controlled substance shall permit the driver to perform or continue to perform a safety-sensitive function

- No driver shall report for duty, remain on duty, or perform a safety-sensitive function if the driver tests positive or has adulterated or substituted a test specimen for controlled substances. No employer having actual knowledge that a driver has tested positive or has adulterated or substituted a test specimen for controlled substances shall permit the driver to perform or continue to perform safety-sensitive functions.

V. **DRIVER VOLUNTARY SELF-IDENTIFICATION PROGRAM**

Truckee-Carson Irrigation District is supportive of drivers who come forward voluntarily to seek assistance for alcohol misuse or controlled substance use. Drivers who admit to alcohol misuse or controlled substance use will not be subject to the referral, evaluation and treatment requirements as outlined in 49 CFR Part 382 and Part 40 provided that: the driver does not self-identify in order to avoid testing under the requirements; the driver makes the admission of alcohol misuse or controlled substance use before performing safety-sensitive functions; and the driver does not perform a safety-sensitive function until Truckee-Carson Irrigation District is satisfied that the employee has been evaluated and has successfully completed education or treatment requirements as determined by a qualified Substance Abuse Professional (SAP). No adverse action will be taken against a driver making a voluntary admission of alcohol misuse or controlled substance use as long as he/she complies fully with the requirements of the self-identification program.

VI. **ALCOHOL AND CONTROLLED SUBSTANCES TESTING**

Pursuant to regulations promulgated by the Department of Transportation, drivers will be subject to the following types of controlled substances testing: **1)** pre-employment testing, **2)** reasonable cause testing, **3)** post-accident testing, **4)** random testing **5)** return to duty testing and **6)** follow-up testing.

1. Pre-employment

Prior to the first time a driver performs safety-sensitive functions, the driver shall undergo testing for controlled substances as a condition of employment. The driver will not be allowed to perform safety-sensitive functions until Truckee-Carson Irrigation District has received a verified negative drug test from the Medical Review Officer (MRO). Truckee-Carson Irrigation District may choose not to require a driver applicant to submit to a pre-employment test if Truckee-Carson Irrigation District can verify that the driver has participated in a valid controlled substances testing program within the preceding 30 days and while participating in that program was either tested within the past 6 months (from the date of application) with their previous employer or participated in a random controlled substances selection program for the previous 12 months (from the date of application) with their previous employer. Truckee-Carson Irrigation District will verify that no prior employer of the driver has records indicating a violation of any DOT rule pertaining to controlled substances use.

2. Reasonable Suspicion Testing

A driver shall be required to submit to an alcohol and/or controlled substances test when Truckee-Carson Irrigation District has reasonable suspicion to believe that the driver has violated the prohibitions of the alcohol and controlled substances program. Truckee-Carson Irrigation District's determination that reasonable suspicion exists to require the driver to undergo testing will be based on specific, contemporaneous, articulable observations concerning the appearance, behavior, and speech or body odors of the driver. The observations may include the indications of the chronic and

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withdrawal effects of alcohol/controlled substances. A supervisor who is trained in accordance with 382.603 shall make the required observations for alcohol and/or controlled substances testing. A driver may be directed by Truckee-Carson Irrigation District to only undergo reasonable suspicion alcohol testing while the driver is performing safety-sensitive functions, just before the driver is to perform safety-sensitive functions, or just after the driver has ceased performing such functions. A driver may be directed by Truckee-Carson Irrigation District to undergo reasonable suspicion controlled substances testing at any time during work hours. If a reasonable suspicion alcohol test is not administered within two hours Truckee-Carson Irrigation District shall prepare and maintain on file a record stating the reasons the alcohol test was not promptly administered. If a reasonable suspicion alcohol test is not administered within eight hours Truckee-Carson Irrigation District shall cease attempts to administer an alcohol test and shall state in the record the reasons for not administering the test. The supervisor who makes the determination that reasonable suspicion exists will not conduct the driver's breath alcohol test.

A written record shall be made of the observations leading to a reasonable suspicion controlled substances test, and signed by the supervisor who made the observations, within 24 hours of the observed behavior or before the results of the controlled substances test are released, whichever is earlier. While DOT does not require written documentation for reasonable suspicion alcohol testing, it is the policy of Truckee-Carson Irrigation District to require such documentation as outlined above. A driver who has been requested to submit to reasonable suspicion testing will be placed on administrative leave (with or without pay) pending receipt of the test results.

3. Post-Accident Testing

Federal regulations require that, as soon as practicable following an occurrence involving a commercial motor vehicle operating on a public road in commerce, a driver shall submit to controlled substances and alcohol testing under the following circumstances: any time a fatality occurs as a result of the accident; anytime a driver receives a citation under State or local law for a moving violation as a result of the accident and the accident involves an injury to anyone which requires immediate medical treatment away from the scene; or anytime a driver receives a citation for a moving violation as a result of the accident and the accident involves disabling damage to any of the vehicles. Disabling damage means one or more vehicles had to be towed away or cannot be driven from the scene.

Truckee-Carson Irrigation District will require each driver to complete a post accident report form that provides instructions to follow in completing any required alcohol and controlled substances testing. Drivers are then obligated to follow the instructions and see that the tests are conducted. Any driver subject to post-accident testing who leaves the scene of an accident before a test is administered other than for the period necessary to obtain assistance in responding to the accident or to obtain necessary medical care or who fails to remain readily available for testing may be deemed by Truckee-Carson Irrigation District to have refused to submit to testing.

In the event that Federal, State or local officials conduct a breath or blood test for the use of alcohol and/or urine tests for the use of controlled substances following an accident, these tests shall be considered to meet the DOT requirements, provided the tests conform to applicable Federal, State or local requirements. The employee will be required to sign a release allowing Truckee-Carson Irrigation District to obtain the test results from such officials.

If an alcohol test is not administered within two hours following the accident, Truckee-Carson Irrigation District shall prepare and maintain on file a record stating the reasons the test was not promptly administered. If an alcohol test is not administered within eight hours following the accident, Truckee-Carson Irrigation

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District shall cease attempts to administer an alcohol test and shall prepare and maintain the same record. Records shall be submitted to the FMCSA upon request of the Associate Administrator.

If a controlled substances test is not administered within 32 hours following the accident, Truckee-Carson Irrigation District shall cease attempts to administer a controlled substances test, and prepare and maintain on file a record stating the reasons the test was not promptly administered. Records shall be submitted to the FMCSA upon request of the Associate Administrator.

4. Random Testing

All drivers will be subject to random alcohol and controlled substances testing. The selection of drivers for random alcohol and controlled substances testing shall be made from a computer-based random number generator that is matched with the driver's computer-based code number. The random selection system provides an equal chance for each driver to be selected each time random selection occurs. Random selections will be unannounced and spread reasonably throughout the year. Random selection, by its very nature, may result in drivers being selected in successive selections or more than once in a calendar year. Alternatively, some drivers may not be selected in a calendar year. Truckee-Carson Irrigation District will controlled substances test, at a minimum, 50 percent of the average number of driver positions in each calendar year. Random controlled substances testing may be performed at any time while the driver is at work for the employer. Truckee-Carson Irrigation District will select, at a minimum, 10 percent of the average number of driver positions for random alcohol testing. Random alcohol testing will be limited to the time period surrounding the performance of safety-related functions. A driver will only be tested immediately before, during, or just after the driver has ceased performing such functions. If a driver is selected at random, the DER will notify the driver. Once notified, the driver must take action intended to lead to an immediate collection. If the driver engages in conduct which does not lead to a collection as soon as possible after notification, such conduct may be considered a refusal to test.

5. Return-to-Duty Testing

Each driver who has engaged in prohibited conduct shall be advised by Truckee-Carson Irrigation District of the resources available to the driver in evaluating and resolving problems associated with the misuse of alcohol and controlled substances. including the names, addresses, and telephone numbers of substance abuse professionals and treatment programs. Truckee-Carson Irrigation District's responsibility is limited only to making a driver referral for assistance.

Per D.O.T. requirements, each driver who engages in prohibited conduct shall be evaluated by a substance abuse professional who shall determine what assistance the driver needs in resolving problems associated with alcohol misuse and controlled substances use. Before a driver returns to duty requiring the performance of a safety-sensitive function after engaging in prohibited conduct, the driver shall undergo a return-to-duty alcohol test with an alcohol concentration of less than 0.02 if the conduct involved alcohol, or a controlled substances test with a verified negative result if the conduct involved a controlled substance and be subject to follow-up testing.

6. Follow-up Testing

Per D.O.T. requirements, each driver identified as needing assistance in resolving problems associated with alcohol misuse or controlled substances use shall be evaluated by a Substance

Abuse Professional (SAP) to determine that the driver has properly followed any rehabilitation program, and shall be subject to unannounced follow-up alcohol and controlled substances tests administered by Truckee-Carson Irrigation District following the driver's return to duty. The number and frequency of such follow-up testing shall be as directed by the substance abuse professional, and consist of at least six tests in the first 12 months following the driver's return to duty. Any such testing shall be performed in accordance with the requirements of 49 CFR part 40. Follow-up testing shall not exceed 60 months from the date of the driver's return to duty. The substance abuse professional may terminate the requirement for follow-up testing at any time after the first six tests have been administered, if the substance abuse professional determines that such testing is no longer necessary.

V11. TESTING GUIDELINES

Alcohol Testing

Alcohol testing is done by testing breath, because breath is the most easily obtained bodily substance and the results are known within minutes of testing. The testing device is called an Evidential Breath Testing Device (EBT). The EBT is a scientific instrument, which determines the concentration of alcohol expressed as "percent by weight". The weight of alcohol in the breath sample is determined and the quantity of the alcohol converted to its equivalent value in blood. A blood alcohol concentration (BAC) of .04 means one twenty-fifth of a gram of alcohol per 210 liters of breath. The EBT will print three copies of each test result and the test results are numbered. When the initial test results show a reading of .02 BAC or greater, a confirmation test is conducted. Before the confirmation test, a 15 minute waiting period will occur for the purpose of ensuring that the presence of mouth alcohol from recent use of food, tobacco, or hygiene products does not artificially raise the test result. The confirmation test is done on the same EBT as the first test. When the confirmation result is different from the initial test, the confirmation test result will always be used to determine driver consequences. The driver will be given a copy of the breath alcohol testing form.

Controlled Substances Testing

The controlled substances testing program required by the regulations is limited to five controlled substances types: (1) Marijuana, (2) Cocaine, (3) Opiates, (4) Amphetamines and (5) Phencyclidine (PCP).

As set forth in 49 CFR Part 40, all controlled substances testing is done from urine specimens collected under highly controlled conditions. The driver provides a urine specimen in a location that affords privacy and the "collector" seals and labels the specimen, completes a chain of custody document and prepares the specimen and accompanying paper work for shipment to a controlled substances testing laboratory. The specimen collection procedures and chain of custody ensure that the specimen's security, proper identification and integrity are not compromised.

Driver protection is also built in to the testing procedures. In order to meet the Federal requirements, the only laboratories that can be used for testing are those certified by the Federal Department of Health and Human Services. The initial test of any specimen will be an immunoassay, which meets the requirement of the Food and Controlled Substances Administration for commercial distribution. All specimens identified as positive will be further confirmed using gas chromatography/mass spectrometry techniques. Before a laboratory is certified to conduct controlled substances testing, it is subject to a rigorous testing and inspection by the Department of Health and Human Services (DHHS). This testing and inspection includes the submission of test samples to the laboratory for analysis during three test cycles over a period of three months. If these test samples are correctly analyzed a team of qualified inspectors conduct an on-site inspection prior to

certification of the lab. Continuing evaluation of the performance of certified laboratories by the Department of Health and Human Services includes the submission of performance test specimens every other month and an on-site inspection at least twice a year.

The laboratory must report test results to Truckee-Carson Irrigation District's designated Medical Review Officer within an average of five working days after the receipt of the specimen by the laboratory. Test results must be certified accurate. The report must identify the controlled substances, metabolites tested for, whether the results are positive, adulterated, substituted or negative, the specimen identification number assigned to the driver, and the controlled substances testing laboratory specimen identification number.

The laboratory must also provide to Truckee-Carson Irrigation District a bi-annual statistical summary of urinalysis testing of drivers. which shall not include any personal identity information. The laboratory will retain samples that yield confirmed positive test results for one year in secured frozen storage. Because it is possible that some analytes may deteriorate during storage, the results of the re-test are to be reported as confirmation of the original test results if the detected level of the controlled substance is below the D.O.T. established limits and equal to or greater than the sensitivity of the test.

V111. COLLECTION SITES

The DER will maintain a list of sites for sample collection. The driver will not be allowed to transport himself or herself to or from the collection site in the event of a reasonable cause test or post-accident test request. The DER will arrange transportation for the driver at all times. The driver shall report immediately to the collection site once properly notified.

IX. THE ROLE OF THE MEDICAL REVIEW OFFICER

The Medical Review Officer (MRO) is a licensed, nationally certified physician who is knowledgeable in the medical use of prescription controlled substances and the pharmacology and toxicology of illicit controlled substances. The primary responsibility of the MRO is to review and interpret positive, adulterated, substituted or invalid test results obtained through Truckee-Carson Irrigation District's controlled substances testing program. Alcohol testing is not subject to medical review given the evidentiary nature of its results.

In terms of controlled substances testing, it is important to understand that a positive, adulterated, substituted or invalid test result does not automatically identify an individual as an illegal controlled substances user. The MRO must evaluate the alternative medical explanations that could account for a positive, adulterated, substituted or invalid test result.

The review of a positive, adulterated, substituted or invalid test result is initiated immediately upon receipt and is ordinarily completed within two working days after receipt of all information pertinent to the review. No information about the test result shall be given to Truckee-Carson Irrigation District during this period. In addition to information provided by the driver, this review will include considerations of chain of custody documents prepared at the time of collection and, in connection with the laboratory, processing of the specimen. This review must also include review of the chain of custody process. If the MRO is unable to contact the driver directly, the MRO will contact Truckee-Carson Irrigation District's DER, who shall in turn contact the driver and direct the driver to contact the MRO immediately, but no later than seventy-two hours as required by the regulations. The DER will inform the driver of the consequences of failing to do so as outlined in the regulations. During this time, Truckee-Carson Irrigation District will not stand-down the driver from performing safety-sensitive functions based only on a request by the MRO to talk with the driver regarding the pending test result. However, Truckee-Carson Irrigation District reserves the right to petition the Department of Transportation for a waiver of the stand-down provision if Truckee-Carson Irrigation District determines that standing-down a driver is necessary for safety and Truckee-Carson Irrigation District can provide

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data on safety problems or incidents that could have been prevented if a stand-down procedure were in place.

During the review of the laboratory results, the MRO will conduct a medical interview with the driver, review the driver's medical history, or review other biomedical factors. This interview may be conducted by telephone. The MRO must review all medical records that the tested driver submits when a confirmed positive, adulterated, substituted or invalid test result could have resulted from legally prescribed medication.

If any questions arise about the accuracy or validity of a positive, adulterated, substituted or invalid test result, the MRO should review the laboratory records to determine whether the required procedures were followed. This will require collaboration with the laboratory director, the analysts, and expert consultants. If necessary, the MRO may request the sample be reanalyzed to determine the accuracy of the test result.

The MRO shall not disclose to any third party medical information provided by the individual to the MRO as part of the testing verification process with the following exceptions: the MRO may disclose such information to Truckee-Carson Irrigation District, D.O.T. or any other Federal safety agency, or a physician responsible for determining the medical qualification of the driver when an applicable D.O.T. regulation permits or requires such disclosure; in the MRO's reasonable medical judgment, the information could result in the driver being determined to be medically unqualified under an applicable DOT agency rule, or in the MRO's reasonable medical judgment, the information indicates that continued performance by the driver of his or her covered function could pose a significant safety risk.

At this point, the MRO makes a determination as to whether the result is scientifically sufficient to take further action. However, if the records from the collection site or laboratory raise doubts about the handling of the sample, the MRO may decide the urinary evidence is insufficient and no further actions would be taken. In these cases, the MRO shall note the possible errors in laboratory analysis or chain of custody procedures and shall notify the proper officials.

If it is determined with reasonable certainty that there is a legitimate medical or other reason to account for a positive, adulterated, substituted or invalid laboratory test result, the report will be reclassified as a negative test result. The notice to Truckee-Carson Irrigation District will indicate that the test result was negative. Any medical information obtained by the MRO will be treated as confidential.

If there is no medical or other reason to account for a positive, adulterated, substituted or invalid test result, the verified positive, adulterated, substituted or invalid test result will be disclosed to Truckee-Carson Irrigation District as required by the program. Any medical information acquired that is not specifically related to illegal controlled substances use will be treated as confidential and not disclosed.

No later than 72 hours after receipt of a confirmed positive, adulterated or substituted test result, a driver may submit a written request to the MRO for re-testing of the specimen producing the positive, adulterated or substituted test result. The MRO must honor the request. Each driver may have one written request that the sample of the specimen be provided to the original or another DHHS-certified laboratory for testing. Per D.O.T. requirements, Truckee-Carson Irrigation District must ensure that the test takes place and that the employee is not required to pay for the test from his/her own funds before the test takes place. Truckee-Carson Irrigation District reserves the right to seek reimbursement from the driver for the cost of the test once the test is completed.

In summary, the MRO determines whether there is some reason other than illegal controlled substances uses to explain a positive, adulterated, substituted or invalid urine controlled substances

test. If illegal controlled substances use is verified, the MRO will inform the DER of the identification of the controlled substances found in a positive test. The driver shall then be notified of the results of the random, reasonable suspicion and post-accident controlled substances test if the test results are verified as positive, adulterated, or substituted. The driver will also be informed as to which controlled substances(s) were verified as positive.

Truckee-Carson Irrigation District shall notify a driver applicant of the results of a pre-employment controlled substances test if the driver requests such information within 60 days of being notified of the disposition of his/her employment application.

X. DRIVER CONSENT

Per Truckee-Carson Irrigation District policy, a driver's consent to a medical examination and alcohol and controlled substances testing is required as a condition of employment and a driver's refusal to consent may result in disciplinary action, up to and including termination. A driver who refuses to test under D.O.T. regulations shall not be permitted to perform in a safety-sensitive position. Refusal shall be treated as a positive test for purposes of the regulations and will result in disciplinary action up to and including termination, per Truckee-Carson Irrigation District policy.

Consent to a medical examination and testing includes a driver's obligation to fully cooperate. Upon request, a driver must promptly complete any required forms and releases and promptly provide a sample for alcohol and controlled substances testing.

XI. REFUSAL TO SUBMIT TO A TEST

DOT regulations provide that a driver shall not refuse to submit to a required alcohol and/or controlled substances test. Refusal to submit to an alcohol or controlled substances test means that a driver:

- Fails to appear for any test within a reasonable time after being directed to do so by the employer or to remain at the testing site until the testing process is complete. This includes the failure of an employee/owner-operator to appear for a test when called by their testing consortium;
- Fails to provide a urine/saliva/breath specimen for alcohol and/or controlled substances testing after he or she has received notice of the requirement for testing;
- In the case of a directly observed or monitored collection of a controlled substances test, the driver fails to permit the observation or monitoring of the provision of a specimen;
- Fails to provide a sufficient amount of urine when directed and a physician has determined, through a required medical evaluation, that there was no adequate medical explanation for the failure;
- Fails to provide a sufficient breath specimen and a physician has determined, through a required medical evaluation, that there was no adequate medical explanation for the failure;
- Fails or declines to take a second test, following a negative dilute result, when required by the employer.
- Fails to undergo an additional medical examination or evaluation, as directed by the Medical Review Officer (MRO) as part of the verification process, or as directed by the DER

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concerning the evaluation as part of the "shy bladder" or "insufficient breath" procedures in Part 40.

- Fails to cooperate with any part of the testing process;
- Fails to sign the certification at step 2 of the alcohol testing form (ATF).

Per Truckee-Carson Irrigation District policy a driver refusing to submit to a required test will be subject to termination.

X11. CONSEQUENCES OF A POSITIVE TEST

Per D.O.T. requirements no driver who is found to have a BAC of .02 or greater but less than .04 shall perform safety sensitive functions until the start of the driver's next scheduled duty period, but not less than 24 hours following the test. Per Truckee-Carson Irrigation District's policy the driver may also be subject to disciplinary action up to and including termination. A driver testing positive for a prohibited controlled substances or found to have an alcohol concentration of .04 or greater will be subject to disciplinary action up to and including termination (attach Truckee-Carson Irrigation District's disciplinary action policy). At the sole discretion of Truckee-Carson Irrigation District, a driver may be offered an opportunity to return to duty once they become medically requalified and follow all requirements as outlined in Part 382.605.

X111. DESIGNATED EMPLOYER REPRESENTATIVE (DER)

The Designated Employer Representative (DER) is an employee authorized by Truckee-Carson Irrigation District to take immediate action(s) to remove employees from safety-sensitive duties and to make required decisions in the testing and evaluation processes. The DER also receives test results and other communications for Truckee-Carson Irrigation District consistent with the requirements outlined in the regulations. The DER is also responsible for answering any questions a driver may have regarding the alcohol and controlled substances testing program. The DER for Truckee-Carson Irrigation District is as follows:

Kate Rutan (11/9/05)
P.O. Box 1356
Fallon, NV 89407
(775) 423-2141

XIV. CONFIDENTIALITY OF RECORDS

The release of individual alcohol and/or controlled substances test records is permitted only with the specific written consent of the driver with the following exceptions:

- When requested by the Department of Transportation, Truckee-Carson Irrigation District shall make available copies of all alcohol and/or controlled substances program name-specific records and reports, files, materials, data, documentation, agreements, contracts, policies and statements that are required under 49 CFR Part 382 and Part 40.
- When requested by Federal, state or local safety agency with regulatory authority, Truckee-Carson Irrigation District must provide controlled substances and alcohol test records concerning the driver.
- When requested by the National Transportation Safety Board as part of an accident investigation, Truckee-Carson Irrigation District shall disclose information related to the administration of a post-accident alcohol and/or controlled substances test administered following the accident under investigation.
- Records shall be made available to a subsequent employer upon receipt of a written request from a driver. Disclosure by the subsequent employer is permitted only as expressly authorized by the terms of the driver's request.

- Truckee-Carson Irrigation District may disclose information required to be maintained under this part pertaining to a driver, to the decision maker in a lawsuit, grievance, or other administrative proceedings brought by or on behalf of the driver, and resulting from a positive alcohol and/or controlled substances test or a refusal to test (including, but not limited to, adulterated or substituted test results). These proceedings also include a criminal or civil action resulting from an employee's performance of safety-sensitive duties, in which a court of competent jurisdiction determines that the drug or alcohol test information sought is relevant to the case and issues an order directing Truckee-Carson Irrigation District to produce the information. Truckee-Carson Irrigation District may release the information only with a binding stipulation that the decision maker to whom it is released will make it available only to parties in the proceeding. Upon written request, a driver shall receive copies of any records pertaining to his/her alcohol or controlled substances test results.

XV. RELEASE OF ALCOHOL AND CONTROLLED SUBSTANCES TEST INFORMATION BY PREVIOUS EMPLOYERS

Truckee-Carson Irrigation District shall obtain, pursuant to a driver's written consent, information on the driver's alcohol tests with a concentration result of 0.04 or greater, positive controlled substances test results, refusals to be tested (including adulterated and substituted test results), and any other violations of the FMCSA alcohol and drug testing regulations within the preceding two years, which are maintained by the driver's previous employers. This information must be obtained and received by Truckee-Carson Irrigation District as soon as possible, but no later than thirty calendar days after the first time a driver performs safety-sensitive functions. If it is not feasible to obtain the information prior to the driver performing safety-sensitive functions, Truckee-Carson Irrigation District will not permit a driver to perform safety-sensitive functions after thirty days without obtaining the information. If the driver stops performing safety-sensitive functions for Truckee-Carson Irrigation District before expiration of the thirty day period or before Truckee-Carson Irrigation District has obtained this information, Truckee-Carson Irrigation District must still obtain these records. Truckee-Carson Irrigation District will provide to each of the driver's employers within the two preceding years the driver's specific, written authorization for release of the information. The release of any information under this part may take the form of personal interviews, telephone interview, letters, or any other method of obtaining information that ensures confidentiality. Truckee-Carson Irrigation District will maintain a written, confidential record with respect to each past employer contacted.

Truckee-Carson Irrigation District must ask an applicant whether he or she has tested positive, or refused to test on any pre-employment drug test administered by an employer to which the employee applied for, but did not obtain, safety-sensitive transportation work covered by D.O.T. agency drug and alcohol testing rules during the past two years. If the applicant admits to a positive test or refusal to test, Truckee-Carson Irrigation District will not use the applicant until the applicant documents successful completion of the D.O.T. return-to-duty alcohol and drug testing rule

XVI. DRIVER ASSISTANCE TRAINING PROGRAM

Truckee-Carson Irrigation District shall require supervisors designated to determine whether reasonable suspicion exists to require a driver to undergo testing, to receive at least sixty minutes of training on alcohol misuse and receive at least an additional sixty minutes of training on controlled substances use. The training shall cover the physical, behavioral, speech, and performance indicators of probable alcohol and controlled substances misuse.

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Truckee-Carson Irrigation District will ensure all drivers receive information concerning the effects of alcohol and controlled substances use on an individual's health, work and personal life; signs and symptoms of an alcohol or a controlled substances problem (the driver's or a coworker's); and available methods of intervening when an alcohol or a controlled substances problem is suspected, including confrontation, referral to any employee assistance program and or referral to management. The Designated Employer Representative will maintain a list of community treatment resources for drivers in need of assistance.

XVII. SEVERABILITY

If any part or provision of this policy, or the application thereof to any person or circumstance, should be held invalid by operation of law or by any tribunal of competent jurisdiction, or if compliance with or enforcement of any part of this provision is restrained by such tribunal pending a final determination as to its validity, the remainder of this policy, or the application of such part of provision to other persons or circumstances, shall not be affected and shall continue in full force and effect.

Appendix A

TRUCKEE-CARSON IRRIGATION DISTRICT

Verification of Driver's Involvement

I, certify that _____ participated in an alcohol and controlled substances testing program that met all the requirements of D.O.T. Regulation 49 CFR, Part 382 and Part 40.

The driver's last date of active employment was on _____

The driver's was last tested on _____

Please check the following:

___ Yes ___ No The driver was tested for controlled substances within six months of his/her termination date. If yes, with the driver's written permission, please attach a copy of the controlled substances test result.

___ Yes ___ No The driver was actively enrolled in the company's random controlled substances testing program for the last twelve months of his/her employment.

___ Yes ___ No To my knowledge, the driver has not violated any other regulation outlined in 49 CFR Part 382.

___ Yes ___ No The driver is qualified under the to the requirements of D.O.T. Regulation 49 CFR. Part 382 and Part 40 and has not refused to be tested for controlled substances.

Testing Program Information:

Organizational Name: _____

Address: _____

Phone Number: _____

Contact Person: _____

I certify that to my knowledge, the foregoing information is correct and true.

Date

Signature of Authorized Representative

Appendix B

TRUCKEE-CARSON IRRIGATION DISTRICT

Driver Pre-employment Controlled Substances Statement

I, _____, certify that I have not tested positive or refused to test on any pre-employment controlled substances test administered by a past employer in which I applied for but did not obtain safety-sensitive transportation work covered by the Department of Transportation's Alcohol and Controlled Substances Testing Rules during the past two years from the date of my employment application with Truckee-Carson Irrigation District.

Signature of Driver: _____ Date: _____

Witness: _____ Date: _____

This authorization is valid until revoked in writing by the above stated driver.

- DRIVER NOTICE -

IF THE DRIVER APPLICANT HAS HAD A POSITIVE PRE-EMPLOYMENT CONTROLLED SUBSTANCES TEST OR REFUSAL TO TEST DURING THE PAST TWO YEARS FROM THE DATE OF THIS EMPLOYMENT APPLICATION WITH TRUCKEE-CARSON IRRIGATION DISTRICT, DO NOT SIGN THIS FORM.

TRUCKEE-CARSON IRRIGATION DISTRICT WILL NOT EMPLOY A DRIVER TO PERFORM SAFETY-SENSITIVE FUNCTIONS UNTIL AND UNLESS THE DRIVER DOCUMENTS SUCCESSFUL COMPLETION OF THE RETURN TO DUTY PROCESS AS OUTLINED IN THE D.O.T. REGULATIONS. DRIVER VERIFICATION OF THE COMPLETION OF THE RETURN TO DUTY PROCESS MUST BE SUBMITTED TO THE TRUCKEE-CARSON IRRIGATION DISTRICT DESIGNATED EMPLOYER REPRESENTATIVE TO BE CONSIDERED ELIGIBLE FOR EMPLOYMENT.

Appendix C

TRUCKEE-CARSON IRRIGATION DISTRICT

CONSENT FORM

**RELEASE OF ALCOHOL AND CONTROLLED SUBSTANCES TEST RESULTS TO
PROSPECTIVE EMPLOYERS**

Upon request, I, _____, authorize Truckee-Carson
Irrigation District to release to _____
all alcohol and controlled substances testing records obtained for the last two years of my employment.

The purpose of this release is to assist me in complying with my new employer, _____
DOT Alcohol and Controlled Substances Testing Program.

This consent is valid until revoked in writing by the above driver.

Date

Signature of Driver Applicant

Witness

Appendix D

TRUCKEE-CARSON IRRIGATION DISTRICT

NOTICE TO DRIVERS

I acknowledge that I have received a copy of Truckee-Carson Irrigation District's revised CFR 49 Part 382 Alcohol and Controlled Substances Testing Policy, effective August 1, 2001. I have read the Policy in its entirety and understand its requirements.

Date

Driver Name (Please Print)

Driver Signature

Appendix E

**TRUCKEE-CARSON IRRIGATION DISTRICT
REASONABLE SUSPICION REPORT**

When requesting an alcohol and/or controlled substances test, Truckee-Carson Irrigation District's representative must complete this form.

1. Name of Driver: _____
2. Position: _____
3. Date of Incident: _____
4. Time of Incident: _____
5. State objective evidence of reasonable suspicion to believe the driver is in possession of, using, or under the influence of alcohol and/or controlled substances (physical evidence should be retained and stored):

6. Protocol for requesting alcohol and/or controlled substances testing:
 - a. Attempt to have another Supervisor corroborate your observation.
 - b. Contact the DER (or designee) for a second review of the evidence and approve testing if appropriate.
7. Call driver into office and present driver with evidence.
8. Driver's response (investigate, where appropriate):
(See Appendix F)

9. Inform driver of Truckee-Carson Irrigation District's alcohol and controlled substances testing requirements.
10. Request driver to submit to alcohol and/or controlled substances testing:
(See Appendix F)
 - a. If driver agrees, have driver sign testing release and follow procedure for collecting sample.
 - b. If driver refuses to submit to testing:
 - 1) Ask driver for reason(s) why he/she refuses to submit to alcohol and/or controlled substances testing.

Appendix E
Reasonable Suspicion Report - Page Two

10. (Continued)

- 2) Inform driver that Truckee-Carson Irrigation District's policy requires drivers to consent to testing and that refusal is grounds for disciplinary action up to and including termination.
- 3) Again request driver to consent to testing.
 - a) If driver agrees, have he/she sign testing release and follow procedure for collecting sample.
 - b) A Truckee-Carson Irrigation District representative will arrange transportation and accompany the driver to the collection site, stay in the waiting room until notified that the collection has been completed and then arrange transportation home for the driver.
 - c) If driver still refuses, inform driver that he/she is suspended pending Truckee-Carson Irrigation District's decision. Request driver to sign refusal to test form.

11. After testing sample is collected, inform driver that he/she is on investigative suspension pending the results of the test and Truckee-Carson Irrigation District's decision on the matter.

12. In cases where the driver is suspected of being under the influence of alcohol and/or controlled substances, arrange transportation (i.e., taxi) home for the driver. If the driver refuses transportation, attempt to persuade the driver to change his/her mind. Do not restrain the driver. In cases where the driver refuses transportation and his/her condition suggests that the driver presents a potential or actual safety risk to themselves or other drivers, notify the police. Inform the driver that you intend to call the police unless he/she accepts transportation. Have a witness verify the driver's refusal of transportation.

13. To the best of my knowledge, this report represents the physical, behavioral, speech or performance indicators of the above-named driver observed by me and upon which I based the decision to request the driver to submit to reasonable suspicion testing.

Supervisor Signature: _____ Date: _____

Appendix G

TRUCKEE-CARSON IRRIGATION DISTRICT

**NOTICE TO DRIVER APPLICANTS
CONTROLLED SUBSTANCES TESTING REQUIREMENT**

Truckee-Carson Irrigation District has a vital interest in maintaining safe, healthful and efficient working conditions for our customers, the public, and our drivers. Using or being under the influence of alcohol and/or controlled substances on the job may pose serious safety and health risks not only for the user, but to all those who work with the user. The possession, use, or sale of alcohol or an illegal controlled substances poses unacceptable risks to safe, healthful and efficient operations.

To meet this compelling interest, and in compliance with the Department of Transportation's Alcohol and Controlled Substances Testing Requirements (49 CFR Part 382) drivers who wish to be considered for employment must agree to SUBMIT TO PRE-EMPLOYMENT CONTROLLED SUBSTANCES TESTING.

By completing and signing this Notice and the attached Application of Employment, the driver applicant understands and agrees to submit to a pre-employment controlled substances testing as provided for in Truckee-Carson Irrigation District's Alcohol and Controlled Substances Policy.

ANY DRIVER APPLICANT WHO IS UNWILLING TO AGREE TO THESE CONDITIONS SHOULD NOT APPLY FOR EMPLOYMENT WITH TRUCKEE-CARSON IRRIGATION DISTRICT. Refusal of a driver applicant to agree to controlled substances testing at this time does not preclude applying for employment with Truckee-Carson Irrigation District at some future date.

Date: _____

SIGNATURE OF DRIVER APPLICANT

Appendix H

TRUCKEE-CARSON IRRIGATION DISTRICT

ALCOHOL AND CONTROLLED SUBSTANCES AWARENESS PROGRAM OUTLINE

In the alcohol and controlled substances awareness program I received information on:

- The dangers of substance abuse in the workplace.
- The effects and consequences of alcohol and controlled substances use on personal health, safety, and the work environment.
- Physiological and psychological aspects of chemical dependency.
- Recognition of the warning signs that indicate alcohol or controlled substances use or abuse.
- Education on how not to support, cover up, or make excuses for a fellow driver abusing alcohol or controlled substances.
- Available treatment resources and recovery options.

Driver Name (Please Print)

Date

Driver Signature

Appendix H
Page Two

TRUCKEE-CARSON IRRIGATION DISTRICT

SUPERVISORY TRAINING OUTLINE

I, _____, acknowledge that I have attended a supervisory training program as required by Truckee-Carson Irrigation District.

The supervisory training program provided information on:

- Recognition of signs and symptoms of employee alcohol and controlled substances abuse.
- How to document performance problems associated with alcohol and controlled substances abuse.
- How to conduct the corrective interview--practical and legal concerns.
- Making effective supervisory referrals for the troubled driver.
- Reintegration issues--working with the driver after treatment.

Signed

Date

I certify that the training conducted complies with the training requirements as outlined in 382.603.

Trainer name: Bob Sinnett. M.A.

Organization: Saint Mary's Regional Medical Center

Address: 745 West Moana Lane. Suite 100, Reno, Nevada 89509

Phone: (775) 770-3940

Signature: _____

Appendix I

RANDOM TESTING DOCUMENTATION FORM

PREPARE THIS FORM EACH TIME TEST SELECTION IS PERFORMED

Employer: _____

Location: _____

Testing Periods Per Year: _____

This Test Period Number: _____

Numbers of Drivers To Be Tested This Period: _____

Selection Date: _____

Eligible Driver's Names (list alphabetically)	Random Selection #	Collection Date	Alcohol Test Results	Drug Test Results
--	-------------------------------	----------------------------	---------------------------------	------------------------------

.....

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Appendix J

TRUCKEE-CARSON IRRIGATION DISTRICT

DRIVER POST-ACCIDENT REPORT

Date of Accident: _____

Time of Accident: _____

Location of Accident: _____

Driver: _____

Driver's Social Security # _____

Description of Accident: _____

Involved Parties:

Name: _____

Address: _____

Phone: _____

Witnesses: _____

Name: _____

Address: _____

Phone: _____

Name: _____

Address: _____

Phone: _____

**Appendix J
Driver Post-Accident Report
Page Two**

Investigating Officer:

Name: _____

Address: _____

Phone: _____

Were any tests administered by the law enforcement officer? _____

Were you issued a moving vehicle citation? _____

Did any vehicle sustain disabling damage to the extent the vehicle was undrivable and required towing?

Did you sustain an injury that required immediate treatment away from the scene?
(please describe)

Treatment Facility:

Name: _____

Address: _____

Phone: _____

Contact Person: _____

Were there any fatalities? _____

Contact Truckee-Carson Irrigation District's DER (JoAnn Luiz [2/19/02] 775-423-2141) to review the report form and receive instructions on providing a sample for alcohol and controlled substances testing (if required).

Appendix K

TRUCKEE-CARSON IRRIGATION DISTRICT

POST-ACCIDENT REVIEW

1. Review Driver's Post-Accident Report Form.

2. Attempt to contact investigating officer to discuss circumstances of the accident and determine if there is reason to believe the driver was under the influence of alcohol or controlled substances.

3. Notify driver of the closest collection site to proceed to provide a sample for alcohol and controlled substances testing (if required).

Collection Site: _____

Address: _____

Phone: _____

Contact Person: _____

Appointment Time: _____

4. Inform the driver that they will be placed on leave of absence with pay pending receipt of the post-accident test result.

Appendix L

TRUCKEE-CARSON IRRIGATION DISTRICT

RECORD KEEPING REQUIREMENTS

General requirements – Truckee-Carson Irrigation District shall maintain records of its alcohol misuse and controlled substances use prevention program as provided in the regulation. The records shall be maintained in a secure location with controlled access.

Period of Retention – Truckee-Carson Irrigation District shall maintain the records in accordance with the following schedule:

- 1) **Five years** - The following records shall be maintained for a minimum of five years:
 - a. Records of driver alcohol test results with results indicating an alcohol concentration 0.02 or greater.
 - b. Records of driver verified positive controlled substances test results.
 - c. Documentation of refusals to take required alcohol and/or controlled substances tests.
 - d. Calibration documentation.
 - e. Driver evaluation and referrals.
 - f. Records related to the administration of the alcohol and controlled substances testing program.
 - g. A copy of each annual calendar year summary required by § 382.403.

Two years - Records related to the alcohol and controlled substances collection process (except calibration of evidential breath testing devices).

One year - Records of negative and canceled controlled substances test results (as defined in part 40 of this title) and alcohol test results with a concentration of less than 0.02.

Indefinite Period - Records related to the education and training of breath alcohol technicians, screening, test technicians, SAP's, MRO's, supervisors, and drivers shall be maintained by Truckee-Carson Irrigation District while the individual performs the functions which require the training and for two years after ceasing to perform those functions.

MANAGEMENT POLICIES – SECTION L

Types of Records - The following specific records shall be maintained.

1. Records related to the collection process:
 - a. Documents relating to the random selection process.
 - b. Calibration documentation for evidential breath testing devices.
 - c. Documents of breath alcohol technician training.
 - d. Documents generated in connection with decisions to administer reasonable suspicion alcohol or controlled substances tests.
 - e. Documents generated in connection with decisions on post-accident tests.
 - f. Documents verifying existence of a medical explanation of the inability of a driver to provide adequate breath or to provide a urine specimen for testing.
 - g. Consolidated annual calendar year summaries as required by §382.403.

2. Records related to a driver's test results:
 - a. Copy of the alcohol test form, including the results of the test.
 - b. Copy of the chain of custody and control form.
 - c. Documents sent by the medical review officer to the employer.
 - d. Documents related to the refusal of any driver to submit to an alcohol or controlled substances test required by this part.
 - e. Documents presented by a driver to dispute the result of an alcohol or controlled substances test administered under this part.
 - f. Documents generated in connection with verifications of prior employer's alcohol or controlled substances test results that must be obtained in connection with the exception contained in §382.301 and §382.413.

3. Records related to other violations of this part.

4. Records related to evaluation:
 - a. Records pertaining to a determination by a substance abuse professional concerning a driver's need for assistance.

 - b. Records concerning a driver's compliance with recommendations of the substance abuse professional.

MANAGEMENT POLICIES – SECTION L

5. Records related to education and training:
 - a. Materials on alcohol misuse and controlled substances use awareness, including a copy of the employer's policy on alcohol misuse and controlled substances use.
 - b. Documentation of compliance with the requirements of § 382.601, including the driver's signed receipt of education materials.
 - c. Documentation of training provided to supervisors to make a determination concerning the need for alcohol and/or controlled substances testing based on reasonable suspicion.
 - d. Certification that any training conducted under this part complies with the requirements for such training.

6. Administrative records related to alcohol and controlled substances testing:
 - a. Agreements with collection site facilities, laboratories, breath alcohol technicians, screening test technicians, medical review officers, consortia, and third party service providers.
 - b. Names and positions of officials and their role in the employer's alcohol and controlled substances testing program(s).
 - c. Semi-annual laboratory statistical summaries of urinalysis required by §40. 111 (a);
 - d. Truckee-Carson Irrigation District's alcohol and controlled substances testing policy and procedures.
 - e. Location of records - All records required by this part shall be maintained as required by §390.31 of this subchapter and shall be made available for inspection at the employer's principal place of business within two business days after a request has been made by an authorized representative of the Federal Motor Carrier Safety Administration (FMCSA).

Appendix M

TRUCKEE-CARSON IRRIGATION DISTRICT

**DRIVER AUTHORIZATION FOR RELEASE OF TEST RESULTS
PERFORMED BY LAW ENFORCEMENT AGENCY**

I, _____, hereby authorize _____,
driver's name **name of law enforcement agency**

having independent authority to perform either breath or blood tests for the use of alcohol or a urine test for the use of controlled substances following an accident,

to release to _____ any such
representative **organization**
test results.

I affirm that the test or tests were conducted in connection with a DOT-recordable accident

on _____ conducted by _____
month, day, year **name of law enforcement agency**

in or near _____.
city, state

Driver' social security number or CDL license number: _____

Signature of driver

Date

Witness

Date

This authorization is valid until withdrawn in writing by driver.

Appendix N

TRUCKEE-CARSON IRRIGATION DISTRICT

POST-ACCIDENT ALCOHOL AND CONTROLLED SUBSTANCES TEST DOCUMENTATION FORM

_____ was involved in a commercial motor vehicle accident
name of driver

on _____ requiring the administration of a post-accident alcohol
month, day, year

and drug test pursuant to Part 3 82. Truckee-Carson Irrigation District was
notified of the accident at _____ on _____ by
time month, day, year

_____. The accident occurred at or near _____
driver/other

_____. The following efforts were undertaken to have the
driver tested as required by the regulations:

- A. An alcohol test was administered within two hours, which demonstrated a blood alcohol concentration of _____.
- B. An alcohol test could not be administered to the driver within two hours of the accident because: _____
- C. An alcohol test was administered after _____ hours (but not more than eight), which demonstrated a blood alcohol concentration of _____.
- D. An alcohol test was not administered within eight hours of the accident because:

- E. A controlled substances test (circle one) **was** / **was not** administered within 32 hours.
- F. A controlled substances test was not administered within 32 hours because:

Truckee-Carson Irrigation District Representative

Date

Appendix O

**TRUCKEE-CARSON IRRIGATION DISTRICT
MISSED ALCOHOL TEST DOCUMENTATION REPORT**

Type of Test Requested:

____ Post-Accident

____ Reasonable Suspicion

Date: _____

Location: _____

Time: _____

Type of safety sensitive function the driver was performing at the time of the test request:

An alcohol test was not administered within eight hours because:

A blood alcohol test would have been available within eight hours at the following facility:

Name of facility: _____

Address of facility: _____

Phone number of facility: _____

Truckee-Carson Irrigation District Representative:

Date:

Submit to: Attn: Alcohol Testing Program
Office of Motor Carrier Standards (HCS-1)
FMCSA
400 Seventh Street S.W.
Washington, D.C. 20590

Appendix P

TRUCKEE-CARSON IRRIGATION DISTRICT

RELEASE OF TESTING INFORMATION BY PREVIOUS EMPLOYER

I, _____ hereby authorize _____
driver/applicant's name previous employer/company name

to release to _____
company contact new employer/company name

_____ address city/state/zip

() phone () fax

results of any positive controlled substance tests, alcohol tests with a result of .04 or greater, evidence of refusal to be tested (including adulterated or substituted test results); other violations of the FMCSA alcohol and drug testing rules and information on any required substance abuse professional (SAP) evaluation and compliance with SAP recommendations for the preceding two years.

This authorization is valid until withdrawn by me in writing.

Dated this _____ day of _____

Name of driver _____

Signature of driver _____

SS Number _____ Witness _____
signature

Appendix Q

TRUCKEE-CARSON IRRIGATION DISTRICT

DOCUMENTATION OF CONFIRMATION FROM PREVIOUS EMPLOYER

This document certifies that _____ reports that _____ prior employer

_____ had: driver

- 1. Positive controlled substances test(s) Yes No
2. Alcohol test Result(s) of .04 or greater Yes No
3. Refusals to be tested for the preceding two years (including adulterated or substituted test results) Yes No
4. Other violations of FMCSA alcohol & drug testing regulations Yes No

If YES to any of the above, below is the name and address of the substance abuse professional (SAP) that evaluated this individual. Please attach documentation of the employee's successful completion of the DOT return to duty requirements including follow-up tests.

SAP _____

SAP address _____

SAP city/state/ZIP _____

Date: _____ month, day, year

Prior Employer Representative: _____ signature

Appendix R

**TRUCKEE-CARSON IRRIGATION DISTRICT
FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION**

Management Information System (MIS) Information Report
Calendar Year _____

COVERED EMPLOYEES

Employee Category	Number of FMCSA covered employees	Number of employees covered by more than one DOT operating administration				
		FMCSA	FRA	FTA	RSPA	USC
Drivers						

CONTROLLED SUBSTANCES TESTING INFORMATION

Type of test	Number of Specimens Collected	Number of Specimens Verified Negative	Number of specimens verified Positive for one or more of the five controlled Substances	Number of specimens verified positive for each type of controlled substances				
				Marijuana (THC)	Cocaine	Phencyclidine (PCP)	Opiates	Amphetamines
Pre-employment								
Random								
Post-accident/ Non-fatal								
Post-accident/ Fatal								
Reasonable Suspicion								
Return -to-duty								
Follow-up								
Number of persons denied a position as a covered employee following a pre-employment verified positive Controlled substances test:								
Number of drivers with tests verified positive by a Medical Review Officer for multiple controlled Substances:								
Number of drivers who refused to submit to a controlled substances test, including those who submitted, Substituted or adulterated specimens:								
Number of employees who engaged in controlled substances misuse who were returned to duty in a driver Position (having complied with tile recommendations of a substance abuse professional as described in FMCSA regulations):								

SPECIMENS VERIFIED POSITIVE FOR MORE THAN ONE CONTROLLED SUBSTANCES

Number of Verified positives	Marijuana (THC)	Cocaine	Phencyclidine (PCP)	Opiates	Amphetamines

CONTROLLED SUBSTANCES TRAINING/EDUCATION

	NUMBER
Number of supervisors who have received initial training on the specific contemporaneous physical, Behavioral, and performance indicators of probable controlled substances use as required by FMCSA Controlled substances testing regulation:	

MANAGEMENT POLICIES – SECTION L

Appendix R
Page 2

ALCOHOL TESTING INFORMATION

Type of test	Number of screening Tests	Number of Confirmation tests	Number of confirmation test results equal to or greater than .02 but less than .04	Number of Confirmation test results equal to or greater than .04
Random				
Post-accident/ non-Fatal				
Post accident/ fatal				
Reasonable suspicion				
Return-to-duty				
Follow-up				
Number of persons denied a position as a driver following a pre-employment alcohol test that Indicates an alcohol concentration of .04 or greater:				
Number of employees who engaged in alcohol misuse who were returned to duty in a driver position (having complied with the recommendations of a substance abuse professional as described in FMCSA regulations):				
Number of drivers administered controlled substances AND alcohol tests at the same time resulting in a verified positive controlled substances test AND an alcohol test indicating an alcohol Concentration of .04 or greater:				
NUMBER OF SCREENING ALCOHOL TESTS BY TYPE OF TEST			BREATH NUMBER:	
			SALIVA NUMBER:	
NUMBER OF CONFIRMATION ALCOHOL TESTS BY TYPE OF TEST			BREATH NUMBER:	
			SALIVA NUMBER:	
NUMBER OF CONFIRMATION ALCOHOL TESTS INDICATING AN ALCOHOL CONCENTRATION OF .02 OR GREATER BUT LESS THAN .04 BY TYPE OF TEST			BREATH NUMBER:	
			SALIVA NUMBER-	
NUMBER OF CONFIRMATION ALCOHOL TESTS INDICATING AN ALCOHOL CONCENTRATION OF .04 OR GREATER BY TYPE OF TEST			BREATH NUMBER:	
			SALIVA NUMBER:	
ALCOHOL TRAINING/EDUCATION			NUMBER	
Number of supervisors who have received initial training on the specific contemporaneous Physical, behavioral, and performance indicators of probable alcohol use as required by FMCSA controlled substances testing regulations:				
VIOLATIONS OF OTHER NON-TESTING PROVISION/PROHIBITIONS OF THIS REGULATION				
Number of covered Employees	Violation	Action Taken		
	Drivers used alcohol while Performing safety-sensitive function.			
	Driver used alcohol within 4 hours of performing safety-sensitive function.			
	Driver used alcohol before Taking a required post-accident alcohol test.			

Appendix S

TRUCKEE-CARSON IRRIGATION DISTRICT

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION

"EZ" ANNUAL SUMMARY

This form is only to be used in the event the annual calendar year summary contains only negative controlled substances test results, alcohol test results of less than .02 and does not contain any violations of 49 CFR Part 382 subpart B.

Calendar Year

COVERED EMPLOYEES

Employee Category	Number of FMCSA covered employees	Number of employees covered by more than one DOT operating administration				
		FAA	FRA	FTA	RSPA	USC
Drivers						

CONTROLLED SUBSTANCES TESTING INFORMATION

NUMBER OF SPECIMENS COLLECTED AND VERIFIED NEGATIVE

Employee Category	Pre-Employment		Random		Post-Accident Non-fatal		Post-accident/fatal		Reasonable Suspicion		Return-to-Duty		Follow-up	
	coll	neg	coll	neg	coll	neg	coll	neg	coll	Neg	coll	Neg	coll	neg
Drivers														

Number of drivers who refused to submit to a controlled substances test, including those who submitted, Substituted or adulterated specimens:

Number of drivers who en-aged in controlled substances misuse who were returned to duty in a covered Position (having complied with the recommendations of a substance abuse professional as described in fmcsa Regulations):

CONTROLLED SUBSTANCES TRAINING/EDUCATION

NUMBER

Number of supervisors who have received initial training on the specific contemporaneous physical, Behavioral, and performance indicators of probable controlled substances use as required by FHWA Regulations:

ALCOHOL TESTING INFORMATION

NUMBER OF ALCOHOL SCREENING TESTS CONDUCTED

Employee Category	Pre-Employment	Random	Post-accident/non-fatal	Post-accident/fatal	Reasonable suspicion	Return-to-Duty	Follow-up
Drivers							

Number of drivers who refused to submit to an alcohol test, including those who submitted,

MANAGEMENT POLICIES – SECTION L

substituted or Adulterated specimens:	
Number of drivers who engaged in alcohol misuse who were returned to duty, in a covered position (having Complied with the recommendations of a substance abuse professional as described in FMCCSA regulations):	
ALCOHOL TRAINING/EDUCATION	NUMBER
Number of supervisors who have received initial training on the specific contemporaneous physical, Behavioral, and performance indicators of probable alcohol use as required by FMCSA regulations:	